



OFFICE OF THE CITY CLERK

Rome City Hall, 198 N. Washington St.

Rome, New York 13440-5815

Louise S. Glasso, CMC • Rome City Clerk, Registrar

Eric R. Seelig • Deputy City Clerk

Heather L. Pacicca • Deputy Registrar

Phone: 315-339-7659

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www.romenewyork.com

APPLICATION FOR AUCTIONEERS LICENSE CERTIFICATE

I. INDIVIDUAL BACKGROUND

Name of Applicant: (also Maiden name): _____

Permanent Address: _____

Permanent Phone Number: () _____ Cell: () _____

Place & Date of Birth: _____ Age _____

Social Security Number: _____ - _____ - _____

Male: _____ Female: _____

Do you possess a current **valid** driver's license? No _____ Yes _____

What State issued your driver's license: _____

Driver's License I. D. Number: _____

Are you an employee of the City of Rome? _____ (if yes, explain)

II. BUSINESS/ORGANIZATION – INFORMATION

Name of Business/Organization : _____

Address: _____

Business Phone Number : () _____ Cell Phone Number () _____

Number of Years Business has been open: _____

Type of Business (i.e., firm, partnership, association, corporation, etc.)

A) **If a partnership**, please list names and addresses of all partners both general and limited (attach additional sheets if necessary);

<u>Name</u>	<u>Address</u>	<u>Type (general or limited)</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____

B) **If a corporation or association**, please list names and addresses of all principal officers (attach additional sheets if necessary);

<u>Name</u>	<u>Address</u>
_____	_____
_____	_____
_____	_____
_____	_____

9) Have you (applicant), or any partner or any principal officer, been **convicted of any crime or any violation of any municipal ordinance**: YES _____ NO _____

If yes, please list (list all; attach more sheets if necessary):

i) nature of offense _____

ii) punishment or penalty received _____

Please note Auctions & Auctioneers shall be subject to the provisions of Article II of Chapter 18 of the Rome City Code of Ordinances.

Applicant agrees to comply with all local laws, ordinances, rules and regulations of the City of Rome pertaining to the operation of a business or occupation.

Yes ()

No ()

Applicant has not been refused a license or had a license revoked within the last nine (9) months of the date of this application.

Yes ()

No ()

Date of refusal or revocation _____

III: REFERENCES

A. List the location and addresses where you have conducted business over the past six months (specify dates for each)

B. List three (3) character references (not relatives) that have known you for a period of at least one year:

Name: _____

Address: _____

Home phone: () _____ Business phone: _____

Occupation: _____

Nature of acquaintance: _____

Name: _____

Address: _____

Home phone: () _____ Business phone: _____

Occupation: _____

Nature of acquaintance: _____

Name: _____

Address: _____

Home phone: () _____ Business phone: _____

Occupation: _____

Nature of acquaintance: _____

NOTE: The City of Rome, New York reserves the right to check any and all references that may reflect on your character and business practices. The office of the City Clerk shall not issue a Auction/Auctioneer License until such time as applicant's background and character have been certified by the Rome Police Department.

Applicant shall allow for a period of up to five (5) business days to complete this task.

IV. BOND

The City of Rome requires that applicant provide the City a good and sufficient bond, as determined by the Corporation Counsel.

V. SIGNATURE

I certify under penalty of perjury that I have personally answered all the questions contained herein and that the information provided by me is true and complete to the best of my knowledge.

I have read the foregoing and understand that FALSE STATEMENTS MADE HEREIN ARE PUNISHABLE AS A CLASS "A" MISDEMEANOR PURSUANT TO SECTION 210. of the NEW YORK STATE PENAL LAW.

Signature

Sworn to and subscribed before me this ____ day of _____, 20__

Notary Public /Commissioner of Deeds
Commission expires: _____

VI. POLICE DEPARTMENT VERIFICATION

Police Department Verification

The credentials of the applicant(s), principal(s) and/or partner(s) of the business have been checked by the Rome Police Department-Detective Division, and based upon the information provided by said Division, the license is:

() Approved () Disapproved

The applicant was not approved for the following reason(s)

Name & Title

Date

VII CITY CLERK LICENSE INFORMATION

Permit Fees:

One (1) Day Permit: \$100.00
Annual Permit : \$500.00

Fee Paid: \$_____

Certificate Number: _____

Date License Issued: _____

Date License Expires:_____

Date: _____

City Clerk's Signature