

JAMES STREET PEDESTRIAN & PARK IMPROVEMENTS
 CITY OF ROME, ONEIDA COUNTY, NEW YORK

BD-1 BID FORM – GENERAL CONSTRUCTION

COMPANY: <i>MJ Dakota Inc.</i>	ADDRESS: <i>114 N. Main St.</i>	TOTAL BID AMOUNT
CONTACT: <i>Dakota Decoffa</i>	<i>Oneida NY 13421</i>	
SIGNATURE: <i>[Signature]</i>	PHONE: <i>315 727 8822</i>	
DATE: <i>7/13/23</i>	EMAIL: <i>dakota@mjdakota.com</i>	
BASE BID		
JAMES STREET PEDESTRIAN & PARK IMPROVEMENTS - BASE BID SUMMARY General Construction for Right-of-Way Improvements and Veterans Park Improvements		\$ <u>891,278</u>
General Construction for Right-of-Way Improvements		\$ <u>439,138</u>
General Construction for Improvements Within Veterans Park Property Limits		\$ <u>452,140</u>
ALTERNATE BID		
JAMES STREET PEDESTRIAN & PARK IMPROVEMENTS - ALTERNATE BID General Construction for Improvements Within Gansevoort Park Property Limits		\$ <u>285,005</u>

JAMES STREET PEDESTRIAN & PARK IMPROVEMENTS
CITY OF ROME, ONEIDA COUNTY, NEW YORK

BD-3
BID SUMMARY FORM – GENERAL CONSTRUCTION

COMPANY: <i>MJD</i>	ADDRESS: <i>''</i>
CONTACT: <i>DAKOTA D.</i>	
SIGNATURE: <i>William J. Howe</i>	PHONE: <i>315 - 727 - 8822</i>
DATE: <i>7/13/23</i>	EMAIL: <i>''</i>

JAMES STREET PEDESTRIAN & PARK IMPROVEMENTS BASE BID – Right-Of-Way Improvements				TOTAL BID ITEM AMOUNT
BID ITEM NO.	DESCRIPTION	ESTIMATED QUANTITY	UNIT OR LUMP SUM PRICE	
			WORDS	FIGURES
1	Mobilization/Demobilization	Lump Sum	<i>nineteen thousand Dollars and Cents per Lump Sum</i>	\$ 19,000
2	Erosion and Sediment Control Measures - Installation and maintenance for the duration of the project.	Lump Sum	<i>Ten thousand dollars Dollars and Cents per Lump Sum</i>	\$ 10,000
3	Traffic Control Measures - Installation and maintenance for the duration of the project.	Lump Sum	<i>Twelve thousand dollars Dollars and Cents per Lump Sum</i>	\$ 12,000
4	Removal of Pavement Markings	660 Linear Feet	<i>Twenty Dollars and Cents per Linear Foot</i>	\$ 20.00
				\$ 13,200

5	Tree Protection Fencing	400 Linear Feet	<u>Fourteen dollars</u> Dollars and Cents per Linear Foot	\$ 14. ⁰⁰	\$ 5,600
6	Furnish and Install Standard Duty Concrete (Including excavation and subbase)	300 Square Yards	<u>one hundred seventy</u> Dollars and Cents per Square Yard	\$ 170. ⁰⁰	\$ 51,000
7	Furnish and Install Concrete Curb (6" Reveal and Flush Header Curb including concrete backing/bedding, underdrain, and restoration) at park corners	500 Linear Feet	<u>one hundred seventeen</u> Dollars and Cents per Linear Foot	\$ 117. ⁰⁰	\$ 58,500
8	Furnish and Install Underdrain for Concrete Curb	500 Linear Feet	<u>Seven dollars fifty cents</u> Dollars and Cents per Linear Foot	\$ 7. ⁵⁰	\$ 3,750
9	Furnish and Install Asphalt Restoration (2" thickness) at Park Corners	245 Square Yards	<u>Fifty eight dollars</u> Dollars and Cents per Square Yard	\$ 58. ⁰⁰	\$ 14,210
10	Furnish and Install Crosswalk Pavement Markings (Including Surface Preparation and Installation)	1800 Linear Feet	<u>twenty eight</u> Dollars and Cents per Linear Foot	\$ 28. ⁰⁰	\$ 50,400
11	Furnish and Install Pavement Striping	300 Linear Feet	<u>thirty</u> Dollars and Cents per Linear Foot	\$ 30. ⁰⁰	\$ 9,000
12	Furnish and Install Curb Ramps Including Detectable Warning	14 EA	<u>Twelve hundred</u> Dollars and Cents per Each	\$ 1,200	\$ 16,800

JAMES STREET PEDESTRIAN & PARK IMPROVEMENTS
CITY OF ROME, ONEIDA COUNTY, NEW YORK

BD-3

BID SUMMARY FORM – GENERAL CONSTRUCTION

13	Furnish and Install Shredded Hardwood Mulch (3" depth - 2 new tree plantings)	1 Cubic Yard	<i>three fifty</i> Dollars and Cents per Cubic Yard	\$ 350	\$ 350
14	Furnish and Install Tree Plantings	2 EA	<i>one thousand</i> Dollars and Cents per Each	\$ 1,000	\$ 2,000
15	Furnish and Install Sod for Disturbed Areas - Replacement at corners only	450 Square Yards	<i>Fifteen</i> Dollars and Cents per Square Yard	\$ 15. ⁰⁰	\$ 6,750
16	Furnish and Install Base, Conduit, and Wiring for Street Lights	12 EA	<i>Five thousand three hundred</i> Dollars and Cents per Each	\$ 5,300	\$ 63,600
17	Trench and Culvert Excavation	45 Cubic Yards	<i>one hundred forty</i> Dollars and Cents per Cubic Yard	\$ 140. ⁰⁰	\$ 6,300
18	Furnish and Install 2' x 2' Catch Basin	4 Feet	<i>eight hundred forty</i> Dollars and Cents per Feet	\$ 840. ⁰⁰	\$ 3,360
19	Install Frame and Grate (Installation Only, Furnished by City)	2 EA	<i>thirteen hundred</i> Dollars and Cents per Each	\$ 1,300. ⁰⁰	\$ 2,600
20	Furnish and Install 12" HDPE Storm Sewer	75 Linear Feet	<i>one hundred</i> Dollars and Cents per Linear Foot	\$ 100. ⁰⁰	\$ 7,500

21	Furnish and Install Altering Drainage Structures (Connections to Existing Structures)	2 EA	<u>Fourteen hundred</u> Dollars and Cents per Each	\$ 1,400. ⁰⁰	\$ 2,800
22	Select Structure Backfill	25 Cubic Yards	<u>Forty five</u> Dollars and Cents per Cubic Yard	\$ 45. ⁰⁰	\$ 1,125
23	Conduit Excavation and Backfill Including Surface Restoration	237 Linear Feet	<u>Eighty</u> Dollars and Cents per Linear Foot	\$ 80. ⁰⁰	\$ 18,960
24	Pole Excavation and Concrete Foundation	2.4 Cubic Yards	<u>Three thousand</u> Dollars and Cents per Cubic Yard	\$ 3,000	\$ 7,200
25	Furnish and Install Pullbox-Circular, 24 Inch Diameter, Reinforced Concrete	2 EA	<u>Three thousand</u> Dollars and Cents per Each	\$ 3,000	\$ 6,000
26	Furnish and Install Traffic Signal Conduit, Rigid Plastic, Class 1 or 2, 3	237 Linear Feet	<u>Forty</u> Dollars and Cents per Linear Foot	\$ 40. ⁰⁰	\$ 9,480
27	Clean Existing Conduit	42 Linear Feet	<u>Ten</u> Dollars and Cents per Linear Foot	\$ 10. ⁰⁰	\$ 420
28	Furnish and Install Traffic Signal Pole-Bracket Mount 8 Feet Mounting Height	2 EA	<u>Two thousand five hundred</u> Dollars and Cents per Each	\$ 2,500	\$ 5,000
29	Furnish and Install Signal Cable 03 Conductors, 12 AWG	367 Linear Feet	<u>Four</u> Dollars and Cents per Linear Foot	\$ 4. ⁰⁰	\$ 1,468

JAMES STREET PEDESTRIAN & PARK IMPROVEMENTS
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BID SUMMARY FORM – GENERAL CONSTRUCTION

30	Furnish and Install Signal Cable, 5 Conductor, 12 AWG	373 Linear Feet	<u>Five dollars</u> Dollars and Cents per Linear Foot	\$ 5. ⁰⁰	\$ 1,865
31	Modify Traffic Signal Equipment	Lump Sum	<u>two thousand</u> Dollars and Cents per Lump Sum	\$ 2,000	\$ 2,000
32	Alter Pullbox for Conduits	2 EA	<u>Three fifty</u> Dollars and Cents per Each	\$ 350. ⁰⁰	\$ 700
33	Furnish and Install Pedestrian Signal Module - 12 Inch Bi-Modal, Hand/Man Symbols LED	8 EA	<u>three hundred</u> Dollars and Cents per Each	\$ 300. ⁰⁰	\$ 2,400
34	Furnish and Install Pedestrian Signal Section - Polycarbonate, Type I, 12 Inch	16 EA	<u>four hundred</u> Dollars and Cents per Each	\$ 400. ⁰⁰	\$ 6,400
35	Furnish and Install Pedestrian Signal Bracket Mount Assembly	4 EA	<u>five hundred</u> Dollars and Cents per Each	\$ 500. ⁰⁰	\$ 2,000
36	Furnish and Install Pedestrian Signal Post Top Mount Assembly	4 EA	<u>Three fifty</u> Dollars and Cents per Each	\$ 350. ⁰⁰	\$ 1,400
37	Furnish and Install Pedestrian Count-Down Timer Module	8 EA	<u>Two fifty</u> Dollars and Cents per Each	\$ 250. ⁰⁰	\$ 2,000
38	Furnish and Install Pedestrian Push Button and Sign, Without Post, With Sign Bracket Assembly	8 EA	<u>Fifteen hundred</u> Dollars and Cents per Each	\$ 1500. ⁰⁰	\$ 12,000

	<p align="center">JAMES STREET PEDESTRIAN & PARK IMPROVEMENTS – Right-Of-Way Improvements TOTAL BASE BID (Sum of Bid Items 1 – 38)</p>	<p align="center">Four hundred thirty nine thousand one thirty eight <u>Total Dollars and Cents</u></p>	<p align="center">\$ 439,138</p>	<p align="center">\$ 439,138</p>
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<p align="center">JAMES STREET PEDESTRIAN & PARK IMPROVEMENTS BASE BID – Veterans Park Improvements</p>						
BID ITEM NO.	DESCRIPTION	ESTIMATED QUANTITY	UNIT OR LUMP SUM PRICE WORDS		FIGURES	TOTAL BID ITEM AMOUNT
1	Mobilization/Demobilization	Lump Sum	twelve thousand Dollars and Cents per Lump Sum		\$ 12,000	\$ 12,000
2	Erosion and Sediment Control Measures - Installation and maintenance for the duration of the project.	Lump Sum	Four thousand Five hundred Dollars and Cents per Lump Sum		\$ 4,500	\$ 4,500
3	Traffic Control Measures - Installation and maintenance for the duration of the project.	Lump Sum	Five thousand Five hundred Dollars and Cents per Lump Sum		\$ 5,500	\$ 5,500
4	Unclassified Excavation and Disposal of Sidewalk (8" depth)	70 Cubic Yards	Two hundred ten Dollars and Cents per Cubic Yard		\$ 210. ⁰⁰	\$ 14,700

JAMES STREET PEDESTRIAN & PARK IMPROVEMENTS
CITY OF ROME, ONEIDA COUNTY, NEW YORK

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BID SUMMARY FORM – GENERAL CONSTRUCTION

5	Unclassified Excavation and Disposal of Planting Beds (18" depth)	45 Cubic Yards	<u>Ninety</u> Dollars and Cents per Cubic Yard	\$ 90. ⁰⁰	\$ 4,050
6	Tree Removal	2 EA	<u>four thousand five hundred</u> Dollars and Cents per Each	\$ 4,500	\$ 9,000
7	Tree Protection Fencing	700 Linear Feet	<u>Thirteen dollars</u> Dollars and Cents per Linear Foot	\$ 13. ⁰⁰	\$ 9,100
8	Furnish and Install Standard Duty Concrete (Including excavation and subbase)	490 Square Yards	<u>one hundred fifty five</u> Dollars and Cents per Square Yard	\$ 155. ⁰⁰	\$ 75,950
9	Furnish and Install Granite Curb (6" Reveal and Flush Header Curb including concrete backing/bedding, and restoration)	260 Linear Feet	<u>one hundred sixty five</u> Dollars and Cents per Linear Foot	\$ 165. ⁰⁰	\$ 42,900
10	Furnish and Install Concrete Curb (6" Reveal and Flush Header Curb including concrete backing/bedding, underdrain and restoration)	12 Linear Feet	<u>Three fifty</u> Dollars and Cents per Linear Foot	\$ 350. ⁰⁰	\$ 4,200
11	Furnish and Install Underdrain for Concrete Curb	12 Linear Feet	<u>one hundred</u> Dollars and Cents per Linear Foot	\$ 100. ⁰⁰	\$ 1,200
12	Furnish and Install Curb Ramps Including Detectable Warning	1 EA	<u>eighteen hundred</u> Dollars and Cents per Each	\$ 1,800	\$ 1,800

13	Furnish and Install Stone Seat Wall (including excavation, footing, and backfill)	27 Linear Feet	<u>two thousand</u> Dollars and Cents per Linear Foot	\$ 2,000	\$ 54,000
14	Furnish and Install Planting Soil Media (18" depth in planting beds)	50 Cubic Yards	<u>two hundred</u> Dollars and Cents per Cubic Yard	\$ 200. ⁰⁰	\$ 10,000
15	Furnish and Install Shredded Hardwood Mulch (3" depth - new tree plantings)	2 Cubic Yards	<u>five hundred</u> Dollars and Cents per Cubic Yard	\$ 500. ⁰⁰	\$ 1,000
16	Furnish and Install Tree Plantings	8 EA	<u>seven fifty</u> Dollars and Cents per Each	\$ 750. ⁰⁰	\$ 6,000
17	Furnish and Install Perennial Plantings	146 EA	<u>Twenty five</u> Dollars and Cents per Each	\$ 25. ⁰⁰	\$ 3,650
18	Furnish and Install Sod for Disturbed Areas	466 Square Yards	<u>fifteen</u> Dollars and Cents per Square Yard	\$ 15. ⁰⁰	\$ 6,990
19	Electrical Distribution	1 Lump Sum	<u>fifteen thousand</u> Dollars and Cents per Lump Sum	\$ 15,000	\$ 15,000
20	Electrical Utility Fees	1 Lump Sum	<u>seventy five hundred</u> Dollars and Cents per Lump Sum	\$ 7,500	\$ 7,500
21	Furnish and Install Ornamental Light Bollard (Including foundation, conduit, and wiring)	18 EA	<u>five thousand</u> Dollars and Cents per Each	\$ 5,000	\$ 90,000

JAMES STREET PEDESTRIAN & PARK IMPROVEMENTS
CITY OF ROME, ONEIDA COUNTY, NEW YORK

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BID SUMMARY FORM – GENERAL CONSTRUCTION**

22	Furnish and Install New Monument Flush Uplighting (Including conduit and wiring)	5 EA	<i>thirty seven hundred</i> Dollars and Cents per Each	\$ 3,700	\$ 18,500
23	Furnish and Install Monument and Arch Uplighting (Including conduit and wiring)	6 EA	<i>Five thousand three hundred</i> Dollars and Cents per Each	\$ 5,300	\$ 31,800
24	Install Park Pedestrian Lights, Conduit and Wiring (includes base, pole, and luminaire)	6 EA	<i>three thousand eight hundred</i> Dollars and Cents per Each	\$ 3,800	\$ 22,800
JAMES STREET PEDESTRIAN & PARK IMPROVEMENTS – Veterans Park Improvements TOTAL BASE BID (Sum of Bid Items 1 – 24)			<i>Four fifty two One Forty</i> Total Dollars and Cents	\$ 452,140	\$ 452,140

JAMES STREET PEDESTRIAN & PARK IMPROVEMENTS ALTERNATE BID - Gansevoort Park Improvements						
BID ITEM NO.	DESCRIPTION	ESTIMATED QUANTITY	UNIT OR LUMP SUM PRICE		TOTAL BID ITEM AMOUNT	
			WORDS	FIGURES		
1	Mobilization/Demobilization	Lump Sum	Six thousand nine hundred Dollars and Cents per Lump Sum	\$ 6,900	\$ 6,900	
2	Erosion and Sediment Control Measures - Installation and maintenance for the duration of the project.	Lump Sum	Five thousand Dollars and Cents per Lump Sum	5,000	\$ 5,000	
3	Traffic Control Measures - Installation and maintenance for the duration of the project.	Lump Sum	Four thousand Five hundred Dollars and Cents per Lump Sum	\$ 4,500	\$ 4,500	
4	Unclassified Excavation and Disposal of Sidewalk (8" depth)	118 Cubic Yards	One twenty Dollars and Cents per Cubic Yard	\$ 120. ⁰⁰	\$ 14,160	
5	Unclassified Excavation and Disposal of Planting Beds (18" depth)	66 Cubic Yards	One hundred fifteen Dollars and Cents per Cubic Yard	\$ 115. ⁰⁰	\$ 7,590	
6	Tree Protection Fencing	700 Linear Feet	Thirteen Dollars and Cents per Linear Foot	\$ 13. ⁰⁰	\$ 9,100	
7	Furnish and Install Standard Duty Concrete (Including excavation and subbase)	406 Square Yards	One hundred fifty five Dollars and Cents per Square Yard	\$ 155. ⁰⁰	\$ 62,930	

JAMES STREET PEDESTRIAN & PARK IMPROVEMENTS
CITY OF ROME, ONEIDA COUNTY, NEW YORK

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BID SUMMARY FORM – GENERAL CONSTRUCTION

8	Furnish and Install Granite Curb (6" Reveal and Flush Header Curb including concrete backing/bedding, and restoration)	140 Linear Feet	<u>one hundred sixty</u> Dollars and Cents per Linear Foot	\$ 160. ⁰⁰	\$ 22,400
9	Furnish and Install Concrete Curb (6" Reveal and Flush Header Curb including concrete backing/bedding, underdrain and restoration)	43 Linear Feet	<u>one hundred thirty five</u> Dollars and Cents per Linear Foot	\$ 135. ⁰⁰	\$ 5,805
10	Furnish and Install Underdrain for Concrete Curb	43 Linear Feet	<u>Thirty three</u> Dollars and Cents per Linear Foot	\$ 33. ⁰⁰	\$ 1,419
11	Furnish and Install Curb Ramps Including Detectable Warning	1 EA	<u>one thousand nine hundred</u> Dollars and Cents per Each	\$ 1,900	\$ 1,900
12	Furnish and Install Planting Soil Media (18" depth in planting beds)	61 Cubic Yards	<u>one sixty five</u> Dollars and Cents per Cubic Yard	\$ 165. ⁰⁰	\$ 10,065
13	Furnish and Install Shredded Hardwood Mulch (3" depth - new tree plantings)	1 Cubic Yard	<u>five hundred</u> Dollars and Cents per Cubic Yard	\$ 500. ⁰⁰	\$ 500
14	Furnish and Install Tree Plantings	2 EA	<u>Seven Fifty</u> Dollars and Cents per Each	\$ 750. ⁰⁰	\$ 1,500
15	Furnish and Install Perennial Plantings	240 EA	<u>Thirty</u> Dollars and Cents per Each	\$ 30. ⁰⁰	\$ 7,200

16	Furnish and Install Sod for Disturbed Areas	326 Square Yards	Thirty Six Dollars and Cents per Square Yard	\$ 36 ⁰⁰	\$ 11,736
17	Electrical Distribution	1 Lump Sum	Five thousand eight hundred Dollars and Cents per Lump Sum	\$ 5,800	\$ 5,800
18	Electrical Utility Fees	1 Lump Sum	Sixty five hundred Dollars and Cents per Lump Sum	\$ 6,500	\$ 6,500
19	Furnish and Install Ornamental Light Bollard (Including foundation, conduit, and wiring)	14 EA	Dollars and Cents per Each	\$ 5,000	\$ 70,000
20	Furnish and Install Monument Uplighting (Including conduit and wiring)	4 EA	twenty five hundred Dollars and Cents per Each	\$ 2,500	\$ 10,000
21	Install Park Pedestrian Lights, Conduit and Wiring (includes base, pole, and luminaire)	5 EA	four thousand Dollars and Cents per Each	\$ 4,000	\$ 20,000
	JAMESSTREET PEDESTRIAN & PARK IMPROVEMENTS – Gansevoort Park Improvements TOTAL ALTERNATE BID (Sum of Bid Items 1 – 21)		two hundred eighty five thousand, five dollars Total Dollars and Cents	\$ 285,005	\$ 285,005

JAMES STREET PEDESTRIAN & PARK IMPROVEMENTS
CITY OF ROME, ONEIDA COUNTY, NEW YORK

BD-3
BID SUMMARY FORM – GENERAL CONSTRUCTION

Bid Notes:

1. Bid items to include all costs associated with furnishing, installing and completing work as described in Contract Drawings.
2. Contractor shall review the Contract Drawings and project site to fully understand their responsibilities in completing the work.
3. Bids shall include survey stake-out by licensed surveyor.
4. The Contractor is responsible for paying for any testing services called for in the Contract Documents.
5. Includes all subsurface demolition and disposal of concrete footers and required utility disconnection, closures and demolition.
6. Grading and Drainage includes final placing, grading and compaction of approved earth backfill to meet required restoration grades shown on the Drawings.
7. Gravel Surface includes the final placing, grading and compaction of approved gravel surface to a depth of 6" across the entire site.

Addendums:

Bidder acknowledges receipt of the following addenda and agrees to be bound by all addendums whether or not listed herein.

<u>Addendum No.</u>	<u>Date of Addendum</u>
1	6/27
memo	7/6

JAMES STREET PEDESTRIAN & PARK IMPROVEMENTS
CITY OF ROME, ONEIDA COUNTY, NEW YORK

BC-1
BIDDER'S CHECKLIST

In order to submit a complete bid, Bidders must submit the following documents:

- Completed Bid Form (BD-1)
- Bid Bond or Certified Bank Check – 5% of total base bid (BD-2)
- Completed Bid Summary Form (BD-3)
- Completed DOS Vendor Responsibility Form (BD-4)
- Certified Copy of Resolution of Board of Directors (For Corporations) (BD-5)
- Completed Non-Collusive Bidding and Disbarment Certification (BD-6)
- Completed Affidavit of Worker's Compensation (BD-7)
- Completed Section 3 Utilization Plan (BD-8)

The following forms are due from the apparent responsible low bidder no later than 15 days after bid opening:

- Proof of Ability to do Work in New York State, or Covenant to Obtain (New York State Department of State) (PB-1)
- Proof of Insurance (PB-2)
- Performance Bond (PB-3)
- Completed Emergency Contact Numbers (PB-4)
- Completed Section 3 Certification Forms (PB-5)

BIDDERS SHALL SUBMIT ALL DOCUMENTS PRESENTED IN THIS PROJECT MANUAL ON SINGLE-SIDED SHEETS IN THE EXACT ORDER SHOWN. NO SUBSTITUTIONS OF FORMS WILL BE ALLOWED. ENTRIES MAY BE TYPED OR LEGIBLY HANDWRITTEN EXCEPT AS SPECIFICALLY NOTED.

AIA Document A310™ – 2010

Bid Bond

CONTRACTOR:

(Name, legal status and address)

MJ Dakota Inc.
114 N. Main St.
Oneida, NY 13421

SURETY:

(Name, legal status and principal place of business)

ENDURANCE ASSURANCE CORPORATION
4 MANHATTANVILLE ROAD
PURCHASE, NY 10577

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

Any singular reference to Contractor, Surety, Owner or other party shall be considered plural where applicable.

OWNER:

(Name, legal status and address)

City of Rome
198 N Washington St
Rome, NY 13440

BOND AMOUNT: Five Percent of Contract Amount (5%)

PROJECT:

(Name, location or address, and Project number, if any)
James St. Sitework

The Contractor and Surety are bound to the Owner in the amount set forth above, for the payment of which the Contractor and Surety bind themselves, their heirs, executors, administrators, successors and assigns, jointly and severally, as provided herein. The conditions of this Bond are such that if the Owner accepts the bid of the Contractor within the time specified in the bid documents, or within such time period as may be agreed to by the Owner and Contractor, and the Contractor either (1) enters into a contract with the Owner in accordance with the terms of such bid, and gives such bond or bonds as may be specified in the bidding or Contract Documents, with a surety admitted in the jurisdiction of the Project and otherwise acceptable to the Owner, for the faithful performance of such Contract and for the prompt payment of labor and material furnished in the prosecution thereof; or (2) pays to the Owner the difference, not to exceed the amount of this Bond, between the amount specified in said bid and such larger amount for which the Owner may in good faith contract with another party to perform the work covered by said bid, then this obligation shall be null and void, otherwise to remain in full force and effect. The Surety hereby waives any notice of an agreement between the Owner and Contractor to extend the time in which the Owner may accept the bid. Waiver of notice by the Surety shall not apply to any extension exceeding sixty (60) days in the aggregate beyond the time for acceptance of bids specified in the bid documents, and the Owner and Contractor shall obtain the Surety's consent for an extension beyond sixty (60) days.

If this Bond is issued in connection with a subcontractor's bid to a Contractor, the term Contractor in this Bond shall be deemed to be Subcontractor and the term Owner shall be deemed to be Contractor.

When this Bond has been furnished to comply with a statutory or other legal requirement in the location of the Project, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted herefrom and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. When so furnished, the intent is that this Bond shall be construed as a statutory bond and not as a common law bond.

Signed and sealed this 13th day of July, 2023


(Witness)

MJ Dakota Inc.

(Principal)

(Seal)

(Title)

ENDURANCE ASSURANCE CORPORATION

(Surety)

(Seal)


(Witness) Joseph V. Cardinale

(Title)

Gary A Cardinale, Attorney-in-Fact

CAUTION: You should sign an original AIA Contract Document, on which this text appears in RED. An original assures that changes will not be obscured.

Init.

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ACKNOWLEDGMENT OF PRINCIPAL

STATE OF _____)
) SS.:
COUNTY OF _____)

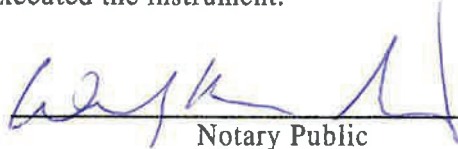
On the ____ day of _____ in the year _____, before me, the undersigned, personally appeared _____, personally known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her capacity, and that by his/her signature on the instrument, the individual, or the person upon behalf of which the individual acted, executed the instrument.

Notary Public

ACKNOWLEDGMENT OF SURETY

STATE OF New York)
) SS.:
COUNTY OF Erie)

On the 13th day of July in the year 2023, before me, the undersigned, personally appeared Gary A Cardinale, personally known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her capacity, and that by his/her signature on the instrument, the individual, or the person upon behalf of which the individual acted, executed the instrument.



Notary Public

WENDY MARIE MONTANTE
NOTARY PUBLIC, STATE OF NEW YORK
Registration No. 01MO6411197
Qualified in Erie County
Commission Expires November 09, 2024

ENDURANCE ASSURANCE CORPORATION
FINANCIAL STATEMENT SUMMARY - STATUTORY BASIS
As of December 31, 2022


ASSETS:	
Bonds	\$ 7,059,123,973
Stocks	\$ 2,392,618,615
Other Invested Assets	\$ 341,410,213
Cash, Cash Equivalents and Short-Term Investments	\$ 1,094,982,499
Receivable for Securities	\$ 5,040,617
Total Cash and Invested Assets	\$ 10,893,175,916
Agents' Balances or Uncollected Premiums	\$ 3,995,122,766
Reinsurance Recoverable on Loss and Loss Adjustment Expense Payments	\$ 1,021,522,572
Funds Held By or Deposited With Reinsurance Companies	\$ 395,751,229
Federal & Foreign Income Tax Recoverable & Interest Thereon	\$ -
Net Deferred Tax Assets	\$ 191,852,444
Receivables From Parent, Subsidiaries & Affiliates	\$ 39,365,910
Aggregate Write-Ins for Other Than Invested Assets	\$ 68,569,185
Investment Income Due and Accrued	\$ 39,919,379
Total Admitted Assets	\$ 16,645,279,402
LIABILITIES:	
Losses	\$ 5,067,053,293
Loss Adjustment Expenses	\$ 636,551,682
Reinsurance Payable on Paid Loss and Loss Adjustment Expenses	\$ 1,648,120,859
Taxes Licenses and Fees	\$ 20,735,383
Current Federal & Foreign Income Taxes	\$ 11,753,591
Unearned Premiums	\$ 2,693,071,589
Advance Premium	\$ 3,969,846
Ceded Reinsurance Premiums Payable	\$ 1,222,920,283
Funds Held Under Reinsurance Treaties	\$ 121,763,218
Commissions Payable, Contingent Commissions and Similar Items	\$ 10,761,501
Amounts Withheld or Retained by Company for Account of Others	\$ 727,804,830
Remittances and Items Not Allocated	\$ 108,020,240
Other Expenses Payable	\$ 32,218,055
Payable to Parents, Subsidiaries and Affiliates	\$ 53,587,180
Payable for Securities	\$ 30,889,634
Provision for Reinsurance	\$ 77,480,646
Other Liabilities	\$ 94,352,512
Total Liabilities	\$ 12,561,054,342
CAPITAL AND SURPLUS:	
Special Surplus Funds - Retroactive Reinsurance Gain	\$ 1,669,252
Common Capital Stock	\$ 5,000,000
Surplus Notes	\$ 350,000,000
Gross Paid In and Contributed Surplus	\$ 3,480,137,280
Unassigned Funds (Surplus)	\$ 247,418,528
Total Capital and Surplus	\$ 4,084,225,060
Total Liabilities and Capital and Surplus	\$ 16,645,279,402

I, Entela Hana, Treasurer of Endurance Assurance Corporation (the "Company") do hereby certify that to the best of my knowledge and belief, the foregoing is a full and true Statutory Statement of Admitted Assets, Liabilities, Capital and Surplus of the Company as of December 31, 2022 prepared in conformity with accounting practices prescribed or permitted by the State of Delaware Department of Insurance. The foregoing statement should not be taken as a complete statement of financial condition of the Company. Such a statement is available upon request at the Company's office located at 4 Manhattanville Road, 3rd Floor, Purchase, NY 10577.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of the Company at Purchase, New York:

Entela Hana, Treasurer

Subscribed and sworn to before me this 15th day of March, 2023:


Notary Public & Seal
DARLENE A. GIBSON
NOTARY PUBLIC-STATE OF NEW YORK
No. 01G16114191
Qualified in Westchester County
My Commission Expires 08-09-2024

KNOW ALL BY THESE PRESENTS, that **Endurance Assurance Corporation**, a Delaware corporation, **Endurance American Insurance Company**, a Delaware corporation, **Lexon Insurance Company**, a Texas corporation, and/or **Bond Safeguard Insurance Company**, a South Dakota corporation, each, a "Company" and collectively, "**Sompo International**," do hereby constitute and appoint: **Gary A. Cardinale** as true and lawful Attorney(s)-In-Fact to make, execute, seal, and deliver for, and on its behalf as surety or co-surety; bonds and undertakings given for any and all purposes, also to execute and deliver on its behalf as aforesaid renewals, extensions, agreements, waivers, consents or stipulations relating to such bonds or undertakings provided, however, that no single bond or undertaking so made, executed and delivered shall obligate the Company for any portion of the penal sum thereof in excess of the sum of **One Hundred Million Dollars (\$100,000,000.00)**.

Such bonds and undertakings for said purposes, when duly executed by said attorney(s)-in-fact, shall be binding upon the Company as fully and to the same extent as if signed by the President of the Company under its corporate seal attested by its Corporate Secretary.

This appointment is made under and by authority of certain resolutions adopted by the sole shareholder of each Company by unanimous written consent effective the 15th day of June, 2019, a copy of which appears below under the heading entitled "Certificate".

This Power of Attorney is signed and sealed by facsimile under and by authority of the following resolution adopted by the sole shareholder of each Company by unanimous written consent effective the 15th day of June, 2019 and said resolution has not since been revoked, amended or repealed:

RESOLVED, that the signature of an individual named above and the seal of the Company may be affixed to any such power of attorney or any certificate relating thereto by facsimile, and any such power of attorney or certificate bearing such facsimile signature or seal shall be valid and binding upon the Company in the future with respect to any bond or undertaking to which it is attached.

IN WITNESS WHEREOF, each Company has caused this instrument to be signed by the following officers, and its corporate seal to be affixed this 15th day of June, 2019.

Endurance Assurance Corporation
By: *Richard M Appel*
Richard Appel; SVP & Senior Counsel

Endurance American Insurance Company
By: *Richard M Appel*
Richard Appel; SVP & Senior Counsel

Lexon Insurance Company
By: *Richard M Appel*
Richard Appel; SVP & Senior Counsel

Bond Safeguard Insurance Company
By: *Richard M Appel*
Richard Appel; SVP & Senior Counsel



ACKNOWLEDGEMENT

On this 15th day of June, 2019, before me, personally came the above signatories known to me, who being duly sworn, did depose and say that he/she is an officer of each of the Companies; and that he executed said instrument on behalf of each Company by authority of his office under the by-laws of each Company.

By: *Amy Taylor*
Amy Taylor, Notary Public - My Commission Expires 3/9/27



CERTIFICATE

I, the undersigned Officer of each Company, DO HEREBY CERTIFY that:

1. That the original power of attorney of which the foregoing is a copy was duly executed on behalf of each Company and has not since been revoked, amended or modified; that the undersigned has compared the foregoing copy thereof with the original power of attorney, and that the same is a true and correct copy of the original power of attorney and of the whole thereof;
2. The following are resolutions which were adopted by the sole shareholder of each Company by unanimous written consent effective June 15, 2019 and said resolutions have not since been revoked, amended or modified:

"RESOLVED, that each of the individuals named below is authorized to make, execute, seal and deliver for and on behalf of the Company any and all bonds, undertakings or obligations in surety or co-surety with others: RICHARD M. APPEL, BRIAN J. BEGGS, CHRISTOPHER DONELAN, SHARON L. SIMS, CHRISTOPHER L. SPARRO, MARIANNE L. WILBERT

; and be it further

RESOLVED, that each of the individuals named above is authorized to appoint attorneys-in-fact for the purpose of making, executing, sealing and delivering bonds, undertakings or obligations in surety or co-surety for and on behalf of the Company."

3. The undersigned further certifies that the above resolutions are true and correct copies of the resolutions as so recorded and of the whole thereof.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the corporate seal this 13th day of July, 20 23.

By: *Daniel S. Lurie*
Daniel S. Lurie, Secretary

NOTICE: U. S. TREASURY DEPARTMENT'S OFFICE OF FOREIGN ASSETS CONTROL (OFAC)

No coverage is provided by this Notice nor can it be construed to replace any provisions of any surety bond or other surety coverage provided. This Notice provides information concerning possible impact on your surety coverage due to directives issued by OFAC. **Please read this Notice carefully.**

The Office of Foreign Assets Control (OFAC) administers and enforces sanctions policy, based on Presidential declarations of "national emergency". OFAC has identified and listed numerous foreign agents, front organizations, terrorists, terrorist organizations, and narcotics traffickers as "Specially Designated Nationals and Blocked Persons". This list can be located on the United States Treasury's website - <https://www.treasury.gov/resource-center/sanctions/SDN-List>.

In accordance with OFAC regulations, if it is determined that you or any other person or entity claiming the benefits of any coverage has violated U.S. sanctions law or is a Specially Designated National and Blocked Person, as identified by OFAC, any coverage will be considered a blocked or frozen contract and all provisions of any coverage provided are immediately subject to OFAC. When a surety bond or other form of surety coverage is considered to be such a blocked or frozen contract, no payments nor premium refunds may be made without authorization from OFAC. Other limitations on the premiums and payments may also apply.

Any reproductions are void.

Surety Claims Submission: LexonClaimAdministration@sompo-intl.com

Telephone: 615-553-9500 Mailing Address: Sompo International; 12890 Lebanon Road; Mount Juliet, TN 37122-2870

JAMES STREET PARKS IMPROVEMENTS

CITY OF ROME, ONEIDA COUNTY, NEW YORK

BD-4 Instructions for New York State Vendor Responsibility Questionnaires

Although it is recommended that vendors complete their questionnaires online using the New York State VendRep System, the four (4) questionnaires found on the VendRep System are also available in paper format.

The questionnaires are intended to elicit information based on vendor type (For-Profit or Not-for-Profit) and activity (Construction or Non-Construction). Each vendor should select the questionnaire that most closely reflects its business characteristics or as directed by an agency's solicitation instructions. The available vendor questionnaires are:

- For-Profit
- For-Profit Construction
- Not-for-Profit
- Not-for-Profit Construction

Business Entities may print the PDF version of a questionnaire form and complete it manually or may select the MS Word version and complete the questionnaire on a computer. Completing the questionnaire in MS Word allows the questionnaire to be saved on the user's computer and updated in the event that the vendor's information changes.

The person(s) completing the vendor responsibility questionnaire must be knowledgeable about the vendor's business and operations. The certification at the end of each questionnaire must be completed by an owner or officer of the Business Entity.

Business Entities must answer every question contained in the selected questionnaire¹. Most questions require "Yes" or "No" answers and request additional information where necessary. Each response must provide all relevant information which can be obtained within the limits of the law. However, information regarding a determination or finding made in error which was subsequently corrected or overturned, and/or was withdrawn by the issuing government entity, is not required. For paper submissions, responses that require additional information must include an attachment containing this information.

If the submitting Business Entity is a Joint Venture, one questionnaire must be submitted for the Joint Venture plus each Business Entity comprising the Joint Venture must also submit separate questionnaires.

¹ If the Business Entity uses a Social Security Number (SSN) as its identification number, providing the SSN on the questionnaire is optional. Individuals and Sole Proprietors may use an SSN but are encouraged to obtain and use an Employer Identification Number. March 23, 2022

New York State Vendor Responsibility

Definitions List

Administrative Proceeding

Any government entity proceeding in which a determination of the legal rights, duties or privileges of named parties thereto is required by law to be made only on a record and after an opportunity to be heard. Such a proceeding may be solely comprised of an exchange of written materials, which can include, but is not limited to, testimony recorded electronically, transcriptions, letters, documents, etc.

Affiliate

For-Profit:

SEE ASSOCIATED ENTITY

Not-For-Profit:

Any business entity (not-for-profit or for-profit) which is entitled to exercise the membership rights of participation in the election of board members, participation and service on the committees of the not-for-profit and approval of changes to a business entity's governing documents, and any company or other legal entity which controls or is controlled by the not-for-profit business entity.

Construction:

- a. Any business entity in which the submitting Business Entity holds 5% or greater ownership interest; and/or
- b. Any business entity or organized group of principal owners or officers holding 5% or greater ownership interest of the submitting business entity; and/or
- c. Any business entity which is owned
 - i. 5% or more by the same entity or group described in (b) or
 - ii. by an individual holding 5% or greater ownership in the submitting business entity and/or
- d. Any business entity in which the submitting Business Entity directs or has a right to direct such entity's daily operations, regardless of percentage of ownership interest.

Note: This term includes any business entity commonly referred to as a "labor arm" or "labor affiliate".

Associated Entity

Generally, any entity that the Reporting Entity controls or is controlled by, including:

- a. Owner: Any business entity or organized group of principal owners or officers holding 50% or greater ownership interest in the Reporting Entity (i.e., holding company, parent company).
- b. Controlling entity: Any business entity which directs or has a right to direct the Reporting Entity's operations, regardless of percentage of ownership interest (i.e., headquarters).
- c. Controlled entity: Any business entity in which the Reporting Entity holds 50% or greater ownership interest, or the Reporting Entity directs or has a right to direct operations, regardless of percentage of ownership interest (i.e., subsidiaries, units under the Reporting Entity).

Note: "Associated Entity" does not include "sibling organizations" (i.e., entities owned or controlled by a parent company that owns or controls the Reporting Entity), unless such sibling entity has a direct relationship with or impact on the Reporting Entity. This term includes any business entity commonly referred to as a "labor arm" or "labor affiliate".

Business Entity

Includes a Legal Business Entity, a Reporting Entity or an Associated Entity as defined herein.

Business Entity Leaders

An officer, general partner, managing partner, manager of an LLC, and/or director.

New York State Vendor Responsibility

Business Entity Officials

Individuals serving in an executive capacity, as staff and/or corporate officers, who have decision-making authority and responsibility for the oversight of a business entity; includes individuals who perform the functions of chief executive officer (CEO), chief operating officer (COO), chief financial officer (CFO), and/or chairman of the board, or their equivalents. (Equivalent titles may include, but are not limited to, President, Executive Vice President, Treasurer, Secretary, Managing Trustee)

Instructions:

Corporations: Identify the Business Entity Officials.

Partnerships: Identify the Senior Managing Partners, and any other partners with powers equivalent to Business Entity Officials.

Limited Liability Companies (LLC): Identify the Executive Managing Directors/Members, Senior Managing Directors/Members, and any other members/managers with powers equivalent to Business Entity Officials.

Sole Proprietors: Identify the individual who is the sole owner and manager of the business entity, or other persons, including staff, with powers equivalent to Business Entity Officials.

Unincorporated Associations: Identify the Executive Committee Members, including President, Vice President, Secretary and Treasurer, Executive or Managing Trustees, or other persons, including staff, with powers equivalent to Business Entity Officials.

Certificate of Good Standing

Certificate issued by the Business Entity's controlling jurisdiction indicating that the Business Entity is current with the filing requirements of the jurisdiction, issued within one year of the date of certification of the Vendor Responsibility Questionnaire.

Charities Registration Number

Number issued by the New York State Attorney General's Charities Bureau to qualified not-for-profit charitable organizations.

CIK Code

The Central Index Key (CIK) is a designation number established for each entity which has filed disclosures with the Securities and Exchange Commission (SEC). It is used on the SEC's computer systems to identify corporations and individual people who have filed disclosure with the SEC.

Citation, Summons, Notice, Violation Order

A notice to appear in court or at an administrative hearing or administrative proceeding, usually issued by a State or Local Government enforcement agency. Includes court issued writs, police issued orders, administrative orders or writs to appear at a certain time and place to do something demanded in the writ, or to defend against the citation, or to show cause for not doing so.

Claim

A written, formal demand for money due, for property, for damages or for enforcement of a right, e.g., a fine or penalty sought by a Government Entity.

Construction

Contracts for work involving general contracting, building new structures and remodeling existing structures, demolition, concrete, paving and masonry, excavation, heating, ventilation and air conditioning, painting, plumbing, electrical work, roofing, asbestos abatement, lead abatement, and remediation and abatement of hazardous materials or hazardous waste. Construction activity also includes grant and other activities in which a not-for-profit entity contracts with the State for construction services (e.g., the building of permanent and transitional housing, and day care facilities).

Includes all construction activities whether provided directly or through the use of subcontractors.

Corporation – For-Profit

Entity organized for the purpose of making profit, created under the laws of a State or United States federal government. Ownership may consist of publicly traded or privately held shares of stock.

New York State Vendor Responsibility

Corporation – Not-For-Profit

A corporation formed for purposes other than financial gain, pursuant to and in accordance with a state's Not-For-Profit Corporation Law.

DBA - Doing Business As

An assumed name a business entity uses for doing business, in lieu of using the legal business name or owner's personal name. The entity must have filed a "Business Certificate," otherwise known as a Certificate of Conducting Business Under an Assumed Name, or DBA, in the county clerk's office of the county in which the business entity is located, or in the case of corporate entities with the Department of State.

Debarred

The exclusion of an individual or business entity from participating in the government procurement process for specified period of time.

Disadvantaged Business Enterprise (DBE)

A United States federal designation through a program run by the U.S. Department of Transportation. A for-profit small business concern that is at least 51% owned by one or more individuals who are both socially and economically disadvantaged, or in the case of a corporation, in which 51% of the stock is owned by one or more such individuals.

State Agencies designate a business entity as a DBE based upon the federal standards.

Disqualification

Any action taken by a government entity which prevents or precludes a business entity from receiving an award for a particular contract or from being placed on a prequalification list. A business entity may be disqualified for a number of reasons, including but not limited to determinations of non-responsibility or lack of required experience.

DUNS – Data Universal Numbering System

A unique 9-digit number provided by Dun & Bradstreet (D&B), a commercial information company. The DUNS Number is site-specific and division-specific. Therefore, each physical location of an entity may have its own DUNS Number. Further, each separate division or branch of an entity may have its own, unique DUNS Number.

EIN - Employer Identification Number

Federal Employer Identification Number used for federal income tax reporting. Although this number may be the Social Security Number of an individual operating a business as a sole proprietor, vendors are encouraged to obtain an EIN for business purposes.

Federal

Any department, division, board, commission or bureau of any federal department designated by the United States federal government.

Financial Statements

Presentation of financial data including balance sheets, income statements, and statements of cash flow, or any supporting statement(s) intended to communicate a business entity's financial position at a point in time and its results of operations for a period then ended.

Formal Unsatisfactory Performance Assessment

A written (including electronic), unsatisfactory performance assessment or evaluation issued by a government entity, after providing due process to a business entity. May include unsatisfactory past performance assessments determined under audit and/or required by law, rule, regulation, policy or procedure.

Former Name

Any previous name by which Legal Business Entity has done business as, inside or outside the State of New York.

New York State Vendor Responsibility

General Partnership

An association of two or more persons to carry on as co-owners of a business.

Good Faith Effort(s)

An effort to achieve a Minority-Owned Business Enterprise, Women-Owned Business Enterprise (M/WBE) or Disadvantaged Business Enterprise (DBE) goal, federal requirement or New York State requirement, which, by its scope, intensity and appropriateness to the objective, can reasonably be expected to fulfill the program requirements.

The code of Federal Regulations 49 C.F.R. Part 26 sets forth the standards to determine whether a contractor has made good faith efforts to reach a DBE goal. Appendix A to Part 26 provides the following guidance for a bidder: "First, the bidder can meet the goal, documenting commitments for participation by DBE firms sufficient for this purpose. Second, even if it doesn't meet the goal, the bidder can document adequate good faith efforts. This means that the bidder must show that it took all necessary and reasonable steps to achieve a DBE goal or other requirement of this part which, by their scope, intensity, and appropriateness to the objective, could reasonably be expected to obtain sufficient DBE participation, even if they were not fully successful."

Article 15-A of the Executive Law of the State of New York sets forth the standards for the M/WBE Program. These standards are to be used to determine whether a contractor has made "active and conscientious efforts to employ and to utilize minority group members and women at all levels and in all segments of its work force on state contracts, and the contractor will document these efforts."

Government Audits

Financial, compliance and/or performance audits completed for or by a government entity.

Government Contract

A contract entered into by a United States federal, state or local government entity.

Government Contracting Process

Bidding, evaluation, award and administration of a government contract.

Government Entity

Any United States federal, state or local government-created bureau, agency, department, division, board, commission, public authority or public benefit corporation.

Investigation

An inquiry has been or is being made by any prosecutorial, investigative, or regulatory agency concerning an individual or business entity or the activities and/or the business practices thereof.

Joint Venture

When two or more persons or business entities join together for a specific business undertaking in which profits, losses and control are shared. Usually an enterprise with limited scope and duration but with shared liability and responsibility for debts or losses. Joint ventures normally terminate when the contract or project for which the entities have joined is completed. The Joint Venture may be established as a separate legal entity with its own federal Employer Identification Number (EIN).

Judgment

A court decision or judgment that settles the rights of the parties and disposes of all issues in controversy, except for award of costs and enforcement of the judgment. A judgment rendered by a lower court is deemed to be a final judgment, even if such judgment is subject to appeal.

New York State Vendor Responsibility

Key Employee

Any officer, managing director or managing trustee, executive director, and persons or entities that manage and/or control the daily operations of the Business Entity, and any person having responsibilities or powers similar to those of officers, managing directors, or managing trustees, including the chief management and administrative officials of the Business Entity (such as executive director or chancellor), but does not include the heads of separate departments or smaller units within the business entity.

A chief financial officer and the officer in charge of administration or program operations are both Key Employees if they have the authority to control the Business Entity's activities, its finances or both. The "heads of separate departments" reference applies to persons such as the head of the radiology department or coronary care unit of a hospital, or the head of the English department at a college. These persons are managers within their specific areas but not for the business entity as a whole and therefore, are not Key Employees.

Legal Business Entity

A Business Entity registered with the Internal Revenue Service and assigned a federal Employer Identification Number. (Note: Individuals and Sole Proprietors may use a Social Security Number but are encouraged to obtain and use an Employer Identification Number.)

Legal Business Entity includes for-profit and not-for-profit entities, and may take the form of:

- a. a Corporation, Partnership (including General, Limited or Limited Liability Partnership), Limited Liability Company, Sole Proprietor, Unincorporated Association, or any other business organization, in the case of for-profit entities, or
- b. a Not-for-Profit Corporation, Foundation, Partnership, Limited Liability Company, Unincorporated Association, or any other business organization, in the case of not-for-profit entities.

Legal Business Entity Name

The name of the entity as set forth in the Legal Business Entity's creation documents.

- a. For Corporations, the name as set forth in the Certificate of Incorporation.
- b. For General Partnerships, the name as set forth in the Certificate of Assumed Name.
- c. For Limited Partnerships, the name as set forth in the Certificate of Limited Partnership.
- d. For Limited Liability Partnerships, the name as set forth in the Certificate of Registration.
- e. For Limited Liability Companies, the name as set forth in the Articles of Organization.

For purposes of this questionnaire, a Sole Proprietor or an individual seeking to do business as him/herself may use his/her name anywhere it asks for the name of the Legal Business Entity Name.

Liens

A form of security interest against property or property interest to secure the payment of a debt, judgment, or taxes, including, but not limited to, judgment liens, mechanics' liens, tax liens, attorneys' liens, New York State of Department of Environmental Conservation liens, but shall not include purchase credit liens, Uniform Commercial Code filings, or mortgages.

Liquidated Damages

Compensation that contracting parties have agreed should be paid to one party for any loss or damage arising from breach of the agreement by the other party.

LLC - Limited Liability Company

A Limited Liability Company (LLC) is a type of business structure that offers limited liability for the debts and obligations of the business entity to the owners. An LLC provides management flexibility and the income and losses are passed through the owners of the entity, like a partnership. It must be formed pursuant to and in accordance with the Limited Liability laws of the state. The designation "LLC" must follow and be a part of the business entity's legal name.

New York State Vendor Responsibility

LLP - Limited Liability Partnership

A Limited Liability Partnership is a partnership with no limited partners, where each partner is a professional by law and qualified to render a professional service, and is engaged in the practice of such profession. The business entity is registered as an LLP with the New York State Department of State, or a partnership with no limited partners registered or otherwise created under the laws of another jurisdiction. The designation "LLP" must follow and be a part of the business entity's legal name.

LP - Limited Partnership

A Limited Partnership is a type of partnership which has two types of partners; general and limited. A LP has at least one general partner and one or more limited partners. The general partner acts in the same capacity as in a general partnership such as management control, right to use property of the partnership, shared profits and joint/several liability. The limited partner has limited liability, is not involved in the day-to-day activity of the partnership and has no management control. The designation "LP" must follow and be a part of the business entity's legal name.

Material Disallowance

Expenditures which have occurred in a contract or grant which an auditor has determined were not allowed under the guidelines established by the agency, the terms of the contract or grant, or by statute, in an amount that would be material in relation to the total value of the contract or grant.

Minority Community-Based Organization (MCBO)

A not-for-profit, local human service organization having its origins in the geographic area that it serves. Generally, the governing bodies and personnel of community-based organizations reflect the racial, ethnic and cultural makeup of the community being served. These types of organizations are characterized by majority representation of Native Americans, Asian-Americans, African-Americans and/or Hispanic-Americans, in both policy formulation and decision-making regarding management, service delivery and staffing reflective of the geographic area it serves.

Minority Owned Business Enterprise (MBE)

A business enterprise which is at least 51% owned, operated or controlled by United States citizens or permanent resident aliens who are minority group members (as listed under Article 15-A of the New York State Executive Law).

A business entity must be certified by the New York State Division of Minority and Women-Owned Business Development as a Minority-Owned Business Enterprise in order to qualify for this status.

New York State Small Business (SB)

A business which is a resident of New York State, independently owned and operated, not dominant in its field and which employs one hundred or fewer people.

New York State Vendor ID

The NYS Vendor ID is a ten-character identifier issued by New York State when the vendor is registered on the Vendor File.

Non-Responsibility Finding

A determination by a government entity that a business entity does not have the requisite financial or organizational capacity, and/or legal authority, and/or integrity, and/or acceptable performance on previous government contracts to perform on a government contract.

Not-For-Profit

A business entity organized for the purpose of social, religious, charitable, educational, athletic, literary, and political or other such activities, which is registered with either:

- a. the New York State Department of State as a Not-for-Profit Corporation in accordance with Article 13 of the Not-for-Profit Corporation Law; and/or
- b. the New York State Attorney General Charities Bureau;

or, is exempt from taxation under Section 501 of the Internal Revenue Code.

New York State Vendor Responsibility

Not-For-Profit Corporation

A corporation formed for purposes other than financial gain, pursuant to and in accordance with a state's Not-For-Profit Corporation Law.

Official(s)

Individual who serves in an executive capacity with decision-making authority and responsibility for the oversight of a Legal Business Entity, a Reporting Entity or an Associated Entity; includes individuals who perform the functions of chief executive officer (CEO), chief operating officer (COO), chief financial officer (CFO), and/or chairman of the board, or their equivalents.

Equivalent titles may include, but are not limited to the following:

- a. Corporations: The chief executive officer (CEO), chief operating officer (COO), chief financial officer (CFO), and/or chairman of the board
- b. Partnerships: The Senior Managing Partners
- c. Limited Liability Companies (LLC): The Executive Managing Directors/Members, Senior Managing Directors/Members
- d. Sole Proprietors: The individual who is the sole owner and manager of the business entity
- e. Unincorporated Associations: The Executive Committee Members, including President, Vice President, Secretary and Treasurer, Executive or Managing Trustees

Organizational Chart

A diagram which illustrates the relationship and management structure of the Reporting Entity to the Legal Business Entity and other Associated Entities as herein defined

Organizational Unit

An established portion of a Legal Business Entity which is within and operating under the authority of the Legal Business Entity, with a designated manager or management team responsible for the operation thereof. For example, a department, division, branch or chapter directly or primarily responsible for fulfilling the terms of the contract. (See Reporting Entity)

OSHA Violation

Serious

A violation designated as "serious" by the Occupational Safety and Health Administration (OSHA). Generally, where there is substantial probability that death or serious physical harm could result, and that the employer knew or should have known of the hazard.

Willful

A violation designated as "willful" by the Occupational Safety and Health Administration (OSHA). Generally, a violation that the employer knowingly commits or commits with plain indifference to the law. The employer either knows that what he or she is doing constitutes a violation or is aware that a hazardous condition exists and makes no reasonable effort to eliminate it.

PC – Professional Service Corporation

A Professional Service Corporation (PC) is organized by one or more individuals authorized to provide a professional service for the purpose of making a profit and for the purpose of rendering such professional service as licensed thereto. Shares may only be issued to those licensed individuals as are authorized to practice their professional service in this state and who have engaged in such profession or will be engaged in the practice of such profession of the PC within 30 days of the issuance of the shares. The designation "PC" must follow and be a part of the business entity's legal name.

PLLC – Professional Service Limited Liability Company

A Professional Service Limited Liability Company (PLLC) is a limited liability company organized for the purpose of providing professional services. Members may only consist of those licensed individuals as are authorized to practice their professional service in this state, and who have engaged in such profession, or will be engaged in the practice of such profession. The designation "PLLC" must follow and be a part of the business entity's legal name.

New York State Vendor Responsibility

Primary Place of Business

The location where the direction and management of the Reporting Entity takes place.

Principal Owner

Any person or Business Entity holding 10% or more of the voting stock of a publicly traded corporation, or 25% or more of a privately held corporation. For construction business entities, any person whose ownership interest is 5% or more.

Principal Place of Business

The location of the primary control, direction and management of the Legal Business Entity.

Registered to do business in New York State

A business entity is registered to do business in New York State, when it has met the statutory filing requirements of filing for authority to do business in New York State, usually by filing with the New York Department of State.

Reporting Entity

The Reporting Entity may be either the entire Legal Business Entity or a portion of the Legal Business Entity, which does or anticipates doing business with the State of New York. If it is not the entire Legal Business Entity, the portion must be an established organizational unit within and operating under the authority of the Legal Business Entity, with a designated manager or management team responsible for the operation thereof. The established organizational unit must have the same Employer Identification Number as the Legal Business Entity. The organizational unit must also be part of the Legal Business Entity, with primary responsibility for fulfilling the terms of the anticipated contract. Examples of a Reporting Entity include, but are not limited to, a department, division or branch.

Sanction

(Sanction or sanctioned) Any fine, penalty, judgment, injunction, violation, debarment, suspension or revocation.

Service-Disabled Veteran-Owned Business (SDVOB)

A small business enterprise having a significant business presence (as defined under the New York State program) that is at least 51% owned, operated or controlled by one or more service-disabled veterans, with a service-connected disability rating of 10% or more from the U.S. Department of Veterans Affairs (or from the New York State Division of Veterans' Affairs for National Guard veterans).

A business entity must be certified by the New York State Office of General Services Division of Service-Disabled Veterans' Business Development in order to qualify for this status.

Shared Space

Space is considered to be shared when any part of the space utilized by the submitting Business Entity, at any of its sites, is also utilized on a regular or intermittent basis for any purpose by any other entity, and where there is no lease or sublease in effect between the submitting Business Entity and any other entity that is sharing space with the submitting Business Entity.

Sole Proprietor

A business entity owned and operated by one individual, although there may be employees. All business decisions are made by the soleowner.

State Contracting Entity

Any New York State government-created entity with the authority to enter into a contract. This includes any New York State created agency, department, division, board, commission, or bureau, including public authorities and public benefit corporations.

State Government Entity

Any state government-created agency, department, division, board, commission or bureau of any state, including public authorities and public corporations.

New York State Vendor Responsibility

Statutory Affirmative Action Requirements

The statutory inclusion of language in government procurement contracts that

- a. requires a business entity to affirmatively act to ensure and promote equal opportunity employment on government contracts,
- b. prohibits a business-entity from discrimination in employment, and
- c. provides for termination of such contracts for a business entity's failure to comply with such terms.

Suspension

(Suspension or suspended) Action taken by a government entity to temporarily restrict the business entity's right to provide new or continuing contractual obligations.

Terminated for Cause

The exercise of a government entity's right to completely or partially terminate a contract due to the business entity's failure to perform its contractual obligations or for the business entity's failure to comply with statutory and/or regulatory responsibilities.

TIN – Taxpayer Identification Number

Taxpayer Identification Number used for federal income tax reporting. This number may be the federal Employer Identification Number (EIN) or the Social Security Number (SSN) of an individual operating a business as a sole proprietor. (Note: Individuals and Sole Proprietors may use a Social Security Number but are encouraged to obtain and use an Employer Identification Number.)

Trade Name

Any name used by a person to identify a business or vocation of such person. A person shall include an individual (natural person), firm, partnership, corporation, union, association or other business entity capable of suing and being sued in a court of law. This also includes any trade, franchise or licensee names.

Unincorporated Association

This is a type of business entity that may be created contractually. The contractual relationship is between the members of the association, all of whom have agreed to join together for a particular purpose. These types of business entities include, but are not limited to, unions, historical societies, professional membership associations, and recreational societies.

Woman Owned Business Enterprise (WBE)

A business enterprise which is at least 51% owned, operated or controlled by U.S. citizens or permanent resident aliens who are women. A business entity must be certified by the New York State Division of Minority and Women-Owned Business Development as a Women-Owned Business Enterprise in order to qualify for this status.

**NEW YORK STATE
VENDOR RESPONSIBILITY QUESTIONNAIRE
ATTACHMENT B – UNCOMPLETED CONSTRUCTION CONTRACTS**

Vendor Name: MJ Dakota, Inc.

NYS Vendor ID:

Question 3.1: List all current uncompleted construction contracts:									
1.	Agency/Owner	Telephone No.	Design Architect and /or Design Engineer	Award Date	Completion Date				
	Lee Center Fire Department			1/13/17					
	Contact Person Patrick Hetherington	leecenter@msn.com	Designer Architect and /or Design Engineer						
	Contract No.	Prime or Sub Prime	Joint Venture (JV) Name, if applicable	EIN of JV, if applicable					
			Total Contract Amount 81,000.00	Amount Sublet to others	Uncompleted Amount				
2.	Agency/Owner West Dominick Art Plaza- City of Rome			Award Date 3/2019	Completion Date open				
	Contact Person Joe Guiliano	3153397627	Designer Architect and /or Design Engineer City of Rome						
	Contract No.	Prime or Sub Prime	Joint Venture (JV) Name, if applicable	EIN of JV, if applicable					
			Total Contract Amount 723,900	Amount Sublet to others n/a	Uncompleted Amount 450,000				
3.	Agency/Owner West Dominick Smart Walk- City of Rome			Award Date 10/2018	Completion Date N/A				
	Contact Person Joe Guiliano	3153397627	Designer Architect and /or Design Engineer City of Rome						
	Contract No.	Prime or Sub Prime	Joint Venture (JV) Name, if applicable	EIN of JV, if applicable					
			Total Contract Amount 679,000	Amount Sublet to others 300,000	Uncompleted Amount 100,000				
4.	Agency/Owner Marco Building			Award Date 7/19/19	Completion Date				
	Contact Person Paul Marco	315-561-3816	Designer Architect and /or Design Engineer Insight Architecture						
	Contract No.	Prime or Sub Prime	Joint Venture (JV) Name, if applicable	EIN of JV, if applicable					
			Total Contract Amount 192,830	Amount Sublet to others 0	Uncompleted Amount 40,000				

**NEW YORK STATE
VENDOR RESPONSIBILITY QUESTIONNAIRE
ATTACHMENT B -- UNCOMPLETED CONSTRUCTION CONTRACTS**

Vendor Name: MJ Dakota, Inc.

NYS Vendor ID:

Question 3.1: List all current uncompleted construction contracts:									
9.	Agency/Owner		Telephone No.		Designer Architect and /or Design Engineer		Award Date	Completion Date	
	Contract No.	Prime or Sub	Joint Venture (JV) Name, if applicable		EIN of JV, if applicable				
			Total Contract Amount	Amount Sublet to others		Uncompleted Amount			
10.	Agency/Owner		Telephone No.		Designer Architect and /or Design Engineer		Award Date	Completion Date	
	Contract No.	Prime or Sub	Joint Venture (JV) Name, if applicable		EIN of JV, if applicable				
				Total Contract Amount	Amount Sublet to others		Uncompleted Amount		

Grand Total All Uncompleted Contracts	605,000
----------------------------------------------	----------------

JAMES STREET PEDESTRIAN & PARK IMPROVEMENTS
CITY OF ROME, ONEIDA COUNTY, NEW YORK

BD-5

CERTIFIED COPY OF
RESOLUTION OF BOARD OF DIRECTORS

MJ DAKOTA INC.

(NAME OF CORPORATION)

“Resolved that DAKOTA DECUTTA MANAGER
(Person Authorized to Sign) (Title)

of MJ DAKOTA authorized to sign and submit Bid for this corporation
(Name of Corporation) for the following project: _____

JAMES STREET

and to include in such bid the certificate as to non-collusion, and for any inaccuracies or misstatements in such certificate this corporate Bidder shall be liable under the penalties of perjury.

The foregoing is true and correct copy of resolution adopted by:

MJ DAKOTA INC.

(NAME OF CORPORATION)

At meeting of its Board of Directors held on the 12 day of July, 2023

By: [Signature]

Title: Manager

(SEAL)

This form **must be** completed if the Bidder is a Corporation.

JAMES STREET PEDESTRIAN & PARKS IMPROVEMENTS
CITY OF ROME, ONEIDA COUNTY, NEW YORK

BD-6
NON-COLLUSIVE BIDDING AND DISBARMENT CERTIFICATIONS

I. SECTION 103-D, GEN. MUNICIPAL LAW & SECTION 139-D OF STATE FINANCE LAW
CERTIFICATION:

1. Every Bidder hereafter made to the state or any public department, agency, or official thereof, where competitive bidding is required by statute, rule, or regulation, for work or services performed or to be performed or goods sold or to be sold, shall contain the following statement subscribed by the bidder and affirmed by such bidder as true under the penalties of perjury.

Non-Collusive bidding certification

- a. By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid, each party thereto certifies as to its own organization, under penalty of perjury, that to the best of his knowledge and belief:

- (1) The prices in the bid have been arrived at independently without collusion, consultation, communication, or agreement, for the purpose of restricting competition, as to any matter relating to such prices with any other bidder or to any competitor;
- (2) Unless otherwise required by law, the prices which have been quoted in this bid have not been knowingly disclosed by the bidder and will not knowingly be disclosed by the bidder prior to opening, directly or indirectly, to any other bidder or to any competitor; and
- (3) No attempt has been made or will be made by the bidder to induce any other person, partnership, or corporation to submit a bid for the purpose of restricting competition.

- b. A bid shall not be considered for award nor shall any award be made where 1, a, b, and c above have not been complied with; provided however, that if in any case the bidder cannot make the foregoing certification, the bidder shall so state and shall furnish with the bid a signed statement which sets forth in detail the reasons therefore.

The fact that a bidder (1) has published price lists, rates or tariffs covering items being procured, (2) has informed prospective customers of proposed or pending publication of new or revised price lists for such items, or (3) has sold the same items to other customers at the same prices being bid does not constitute, without more, a disclosure within the meaning of subparagraph One (a) above.

2. Any bid hereafter made to the state or any public department agency, or official thereof by a corporate bidder for work or services performed or to be performed or goods sold or to be sold,

JAMES STREET PEDESTRIAN & PARK IMPROVEMENTS
CITY OF ROME, ONEIDA COUNTY, NEW YORK

where competitive bidding is required by statute, rule, or regulation, and were such bid contains the certification referred to in subdivision one of this section, shall be deemed to have been authorized by the board of directors of the bidder and such authorization shall be deemed to have included the signing and submission of the bid and the inclusion therein of the certificate as to non-collusion as the act and deed of the corporation.

II. TITLE 23, U.S.C., SECTION 112(C) CERTIFICATION:

By submission of this bid, the bidder does hereby tender to the Owner this sworn statement pursuant to Section 112 (c) of Title 23 U.S.C. (Highways) and does hereby certify, in conformance with said section 112 (c) of Title 23 U.S.C. (Highways) that he said Contractor has not, either directly or indirectly, entered into any agreement, participated in any collusion, or otherwise taken any action in restraint of free competitive bidding in connection with the above contract.

III. TITLE 49 U.S.C., PART 29 DISBARMENT/INELIGIBILITY DISCLOSURE:

The signator to the proposal, being duly sworn, certifies that, EXCEPT AS NOTED BELOW, his/her company and any person associated therewith in the capacity of owner, partner, director, officer, or major stockholder (of five percent or more ownership):

- a. Is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any Federal agency;
- b. Has not been suspended, debarred, voluntarily excluded, or determined ineligible by any Federal agency within the past three years;
- c. Does not have a proposed debarment pending; and
- d. Has not been indicted, convicted, or had a civil judgment rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past three years.

Exceptions: The Contractor should list any relevant information, attaching additional sheets to the proposal if necessary. (Exceptions will not necessarily result in disapproval, but will be considered in determining responsibility. For any exception noted, the Contractor should indicate to whom it applies, the initiating agency, and the dates of actions. Providing false information may result in criminal prosecution or administrative sanctions.)

JAMES STREET PEDESTRIAN & PARKS IMPROVEMENTS
CITY OF ROME, ONEIDA COUNTY, NEW YORK

NON-COLLUSIVE BIDDING CERTIFICATION BIDDER INFORMATION

Bidder to provide information listed below:

Bidder Address:

114 N. main st.
Street or P.O. Box Number
Oneida
City
NY
State
13421
Zip

Federal Identification No:

13-4237143

Name of Contact Person:

Mike DeCuffa

Phone No. of Contact Person:

315 727 1136

If Bidder is a Corporation:

Mike DeCuffa "
Presidents Name and Address

Vice Presidents Name and Address

Dakota DeCuffa
Other Officer's Name and Address

If Bidder is a Partnership:

Partners Name and Address

Partners Name and Address

If Bidder is a Sole Proprietorship

Owners Name and Address

JAMES STREET PEDESTRIAN & PARK IMPROVEMENTS
CITY OF ROME, ONEIDA COUNTY, NEW YORK

BY EXECUTING THIS DOCUMENT, THE CONTRACTOR AGREES TO:

1. Perform all work listed in accordance with the Contract Documents at the unit prices bid; subject to the provisions of the Standard Specifications, Construction Materials, published by the New York State Department of Transportation, and dated May 1, 2008, and addenda thereto, if applicable;
2. All the terms and conditions of the non-collusive bidding certifications required by section 139d of State Finance Law, and Section 112(c), Title 23, U.S. Code;
3. Certification of Specialty Items category selected, if contained in this proposal;
4. Certification of any other clauses required by this proposal and contained herein;
5. Certification, under penalty of perjury, as to the current history regarding suspensions, debarments. Voluntary exclusions, determinations of ineligibility, indictments, or civil judgments required by 49 CFT Part 29.

MJ Dakota
(Legal name of Person, Corporation, or Firm which is Submitting Bid or Proposal)

Date July 13, 2023

By: [Signature]
(Signature of Person Representing Above)

As: manager
(Official Title of Signator in Above Firm)

(Acknowledge By a Corporation)

STATE OF NEW YORK)

) SS:

COUNTY OF)

On this 13 day of July, 20 before me personally came DAKOTA DELUTTA to me known and known to me to be the person who executed the above instrument, who being duly sworn by me, did depose and say that he/she resides at 111 N. MAIN ST., and that he/she is the manager of the Corporation described in and which executed the above instrument, and that he/she signed his/her name thereto on behalf of said Corporation by order of the Board of Directors of said Corporation.

DAWN M. CREVER
Notary Public, State of New York
Qualified in Oneida County
Reg. No. 01CR6439853
Commission Expires 6/29/2026

[Signature]
Notary Public

JAMES STREET PEDESTRIAN & PARKS IMPROVEMENTS
CITY OF ROME, ONEIDA COUNTY, NEW YORK

(Acknowledge By Partnership)

STATE OF NEW YORK)

) SS:

COUNTY OF)

On this _____ day of _____, 20 before me personally came _____ to me known and known to me to be the person described in and who executed the above instrument, who being duly sworn by me, did depose and say that he/she is a partner of the firm of _____, consisting of himself/herself and _____ and that he/she executed the foregoing instrument in the firm name of _____ and that he/she had authority to sign same, and did duly acknowledge to me that he/she executed same as the act and deed of said firm of _____ for the uses and purposes mentioned herein.

Notary Public

(Acknowledge By Individual Contractor)

STATE OF NEW YORK)

) SS:

COUNTY OF)

On this _____ day of _____, 20 before me personally came _____ to me known and known to me to be the person who executed the above instrument, and that he/she acknowledge that he/she executed the same.

Notary Public

JAMES STREET PEDESTRIAN & PARK IMPROVEMENTS
CITY OF ROME, ONEIDA COUNTY, NEW YORK

BD-7
AFFIDAVIT OF WORKERS COMPENSATION

State of New York

SS:

County of Oneida

of Dakota DeWitt - MJ Dakota being
duly sworn, deposes and says that he now carries or that he has applied for a New York State
Workers Compensation Policy to cover the operations, as set forth in the preceding contract, and
to comply with the provisions thereof.

Signed: *[Signature]*

Subscribed and sworn to before me this 13 day of July, 2023.

[Signature]
Notary Public

DAWN M. CREVER
Notary Public, State of New York
Qualified in Oneida County
Reg. No. 01CR6439853
Commission Expires 8/29/2024

**CITY OF ROME -- ECONOMIC OPPORTUNITIES
SECTION 3 UTILIZATION PLAN**

Contractors Name: *MJ Dakota Inc.*
 Address: *114 N. Main St.*
 City, State, Zip Code: *Orinda NY 13421*

Federal Identification No.: *13-4123-7143*
 Activity/Contract Total: \$
 Telephone No.:

The City of Rome has established employment and training goals that subrecipients, contractors, and subcontractors should meet in order to comply with Section 3 requirements outlined in 24 CFR Part 75.19 - for housing and community development financial assistance. The safe harbor benchmark goals are as follows:

- 1) Twenty-five (25) percent or more of the total number of labor hours worked by all workers on a Section 3 project are Section 3 workers;

Section 3 Labor Hours/Total Labor Hours = 25%

And

- 2) Five (5) percent or more of the total number of labor hours worked by all workers on a Section 3 project are Targeted Section 3 workers, as defined at 24 CFR Part 75.21.

Targeted Section 3 Labor Hours/Total Labor Hours = 5%

	Calculated Percentage	Safe Harbor Benchmark Met (Yes/No)
Total Labor Hours	<i>1000 hrs</i>	<i>yes</i>
Section 3 Targeted Workers Hours		
Section 3 Worker Hours	<i>1000</i>	<i>yes</i>

IF UNABLE TO FULLY MEET THE SECTION 3 BENCHMARKS SET FORTH IN THE CONTRACT, CONTRACTORS MUST SUBMIT WRITTEN DOCUMENTATION FOR THE NATURE OF AGENCY EFFORTS BELOW.

Nature of Agency Efforts

This section is required if, based on the labor hours reporting above, the reporting agency did not meet the safe harbor benchmarks. Check all that apply. Maintain and submit records available for City review to document any efforts checked.

<input type="checkbox"/>	Outreach efforts to generate job applicants who are Public Housing Targeted Workers
<input type="checkbox"/>	Outreach efforts to generate job applicants who are Other Funding Targeted Workers.
<input type="checkbox"/>	Direct, on-the job training (including apprenticeships).
<input type="checkbox"/>	Indirect training such as arranging for, contracting for, or paying tuition for, off-site training.
<input type="checkbox"/>	Technical assistance to help Section 3 workers compete for jobs (e.g., resume assistance, coaching).
<input type="checkbox"/>	Outreach efforts to identify and secure bids from Section 3 business concerns.
<input type="checkbox"/>	Outreach efforts to identify and secure bids from Section 3 business concerns.
<input type="checkbox"/>	Division of contracts into smaller jobs to facilitate participation by Section 3 business concerns.
<input type="checkbox"/>	Provided or connected residents with assistance in seeking employment including: drafting resumes, preparing for interviews, finding job opportunities, connecting residents to job placement services.
<input type="checkbox"/>	Held one or more job fairs.
<input type="checkbox"/>	Provided or connected residents with supportive services that can provide direct services or referrals.
<input type="checkbox"/>	Provided or connected residents with supportive services that provide one or more of the following: work readiness health screenings, interview clothing, uniforms, test fees, transportation.
<input type="checkbox"/>	Assisted residents with finding child care.
<input type="checkbox"/>	Assisted residents to apply for, or attend community college or a four-year educational institution.
<input type="checkbox"/>	Assisted residents to apply for, or attend vocational/technical training.
<input type="checkbox"/>	Assisted residents to obtain financial literacy training and/or coaching.
<input type="checkbox"/>	Bonding assistance, guaranties, or other efforts to support viable bids from Section 3 business concerns.
<input type="checkbox"/>	Provided or connected residents with training on computer use or online technologies.
<input type="checkbox"/>	Promoting the use of a business registry designed to create opportunities for disadvantaged and small businesses.
<input type="checkbox"/>	Outreach, engagement, or referrals with the state one-stop system, as designed in Section 121(e)(2) of the Workforce Innovation and Opportunity Act.
<input type="checkbox"/>	Other. Specify:

PREPARED BY (signature): *Ryelle J. Rasmussen*

DATE: 7/13/23

NAME AND TITLE OF PREPARER (Print of Type): *Dakota Decoffa*

SUBMISSION OF THIS FORM CONSTITUTES THE CONTRACTOR'S ACKNOWLEDGEMENT AND AGREEMENT TO COMPLY WITH THE SECTION 3 REQUIREMENTS SET FORTH AS OUTLINED IN 24 CFR PART 75.19. FAILURE TO SUBMIT COMPLETE AND ACCURATE INFORMATION MAY RESULT IN A FINDING OF NONCOMPLIANCE AND POSSIBLE TERMINATION OF YOUR CONTRACT.